



2 The sensitivity of tropospheric methane to the interannual
 3 variability in stratospheric ozone

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10 *Importance of this paper: Many processes in the stratosphere have important impacts upon tropospheric behavior. The*
 11 *forcing of tropospheric methane by stratospheric ozone is one such interaction for which we have long and accurate ob-*
 12 *servational records. We have empirically determined a quantitative measure of this interaction. This result provides the first*
 13 *convincing demonstration in the data records of such a stratosphere–troposphere interaction. It can also be used to calibrate*
 14 *the modeling work being done in this area.*

15 **Abstract**

16 The dominant processes affecting the concentration of tropospheric methane on interannual timescales are the
 17 biospheric and anthropogenic sources and changes in the abundance of the hydroxyl radical caused by the changes in
 18 the UV flux which result from changes in stratospheric ozone abundance. We have carried out an empirical study of the
 19 sensitivity of the methane to fluctuations in ozone column abundance. This analysis was carried out using monthly
 20 mean surface methane concentrations measured by the National Oceanic and Atmospheric Administration – Climate
 21 Monitoring and Diagnostics Laboratory (NOAA-CMDL) Global Cooperative Air Sampling Network from 1983 to
 22 1998 and ozone column abundances obtained by the Total Ozone Mapping Spectrometer (TOMS) and the EP TOMS
 23 instruments over the same time period. We focused on interannual variability with periods between 15 and 60 months,
 24 in which interval the dominant ozone fluctuation is the quasi-biennial oscillation (QBO), with a period of approximately
 25 29 months. In order to isolate the response of methane to ozone from the effects of variability in the sources and
 26 transport of methane, we restricted our analysis to data at mid-latitudes in the southern hemisphere. A statistical study
 27 shows that the sensitivity factor $\alpha \equiv -d(\ln[\text{CH}_4])/d(\ln[\text{O}_3]) = -0.038 \pm 0.009$. The response of CH_4 lags approximately
 28 6 months behind the forcing by O_3 . A simple model was used to interpret the empirical results. Our results confirm that
 29 any mechanism that affects stratospheric ozone impacts the oxidizing potential of the troposphere. CH_4 fluctuations
 30 provide a quantitative measure of this important effect linking the upper and the lower atmosphere. © 2000 Elsevier
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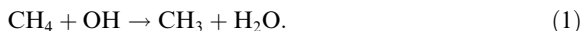
32 *Keywords:* Interannual oscillation; QBO; Atmosphere; Stratosphere–troposphere interaction; Insolation

1. Introduction

The most important mechanism for removing meth-
 ane from the atmosphere is its reaction with tropo-
 spheric OH radicals (Khalil et al., 1993).

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38 The primary source of OH is the UV photolysis of
39 tropospheric ozone to give electronically excited oxygen
40 atoms. A small fraction of these excited atoms then react
41 with water to produce the OH radical. This source of
42 OH is described by



44 where $h\nu$ is a near-UV photon (Levy, 1992, Thompson,
45 1992). As oxidation by OH is the main loss mechanism
46 for tropospheric methane it follows that any perturba-
47 tion to the abundance of OH will affect the abundance of
48 methane. Any modulation of the UV flux to the tropo-
49 sphere will affect the abundance of OH (Liu and Tranier,
50 1988), which will in turn modulate the concentration of
51 methane via reactions (1)–(3). The primary source of UV
52 fluctuations on interannual timescales is fluctuations in
53 the ozone column abundance.

54 For small perturbations, these effects may be ap-
55 proximated by the linear relations

$$\frac{[\text{OH}]'}{[\text{OH}]_0} = -\alpha_{\text{OH}} \frac{\Omega'}{\Omega_0}, \quad (4)$$

$$\frac{[\text{CH}_4]'}{[\text{CH}_4]_0} = -\alpha_{\text{CH}_4} \frac{\Omega'}{\Omega_0}, \quad (5)$$

57 where α is the sensitivity of a given species to changes in
58 the ozone column abundance. Ω_0 and Ω' are, respec-
59 tively, the reference level and the perturbation from the
60 reference level of ozone, with similar definitions for
61 reference levels and perturbations of the concentrations
62 of CH_4 and OH. The minus sign follows the convention
63 in the literature (Fuglestedt et al., 1994, Granier and
64 Shine, 1991). We expect α_{CH_4} to be negative, since the
65 oscillations in methane are positively correlated with the
66 oscillations in ozone. A value for α_{CH_4} can be calculated
67 from a comparison of the observations of the fluctua-
68 tions in the methane signal to those in the ozone signal.
69 Note that the α so determined is based on the methane's
70 relatively short response time to the ozone oscillations
71 and is therefore fundamentally different from the α 's
72 obtained from studies using models run until a steady
73 state is achieved (Bekki et al., 1994; Fuglestedt et al.,
74 1994; Granier et al., 1996; Van Dop and Krol, 1996).
75 However, the empirically determined α can, with ap-
76 propriate modifications, still be used to calibrate the
77 behavior of the modeling studies. This is explored in
78 more detail in Sections 2 and 4.

79 While the sensitivity will be determined empirically, a
80 simple model can be used to help interpret the results.
81 Changes in methane concentration can be modeled by
82 the equation

$$\frac{d[\text{CH}_4]}{dt} = P - k[\text{OH}][\text{CH}_4], \quad (6)$$

where P is the production of methane and k is the rate
coefficient for destruction of methane by OH. P and k
are assumed to be constants. The methane concentration
can be written as $[\text{CH}_4] = [\text{CH}_4]_0 + [\text{CH}_4]'$ and the OH
concentration as $[\text{OH}] = [\text{OH}]_0 + [\text{OH}]'$, where a zero
subscript represents the time-invariant mean and a
prime represents the deviation from the mean. Eq. (6)
can now be expanded

$$\frac{d[\text{CH}_4]'}{dt} = P - k[\text{OH}]_0[\text{CH}_4]_0 - k[\text{OH}]_0[\text{CH}_4]' - k[\text{OH}]'[\text{CH}_4]_0 - k[\text{OH}]'[\text{CH}_4]'. \quad (7)$$

The first two terms on the right-hand side of (7) cancel
since the steady-state solution of (6) gives
 $P - k[\text{OH}]_0[\text{CH}_4]_0 = 0$. The last term is second-order in
the perturbation and can be neglected for small pertur-
bations. Dividing the remaining terms in (7) by $[\text{CH}_4]_0$
leaves the linearized model

$$\frac{dy}{dt} = -\frac{y}{\tau} - \frac{F(t)}{\tau}, \quad (8)$$

where $y = [\text{CH}_4]' / [\text{CH}_4]_0$ is the fractional deviation from
the mean methane concentration and $F = [\text{OH}]' / [\text{OH}]_0$
is the fractional deviation of the OH concentration.
 $\tau = 1/k[\text{OH}]_0$ is the mean lifetime of methane in the
troposphere. This analysis can be expanded to a time-
dependent source, $P(t)$, by detrending the chemical time
series and assuming that $P(t)$ balances the trends re-
moved. Since the lifetime of OH in the atmosphere is
extremely short, the perturbations in OH should very
closely track the perturbations in O_3 . For small pertur-
bations, the forcing term in Eq. (8) can be represented as

$$F(t) = \kappa \frac{\Omega'}{\Omega_0}, \quad (9)$$

where, as before, Ω represents the total column abun-
dance of ozone. The constant of proportionality, κ , is
closely related to the sensitivity, α . The exact relation-
ship between κ and α is examined in Section 3 and in
Appendix A.

2. Sensitivity to interannual fluctuations 117

We have carried out an empirical study of the sensi-
tivity of the methane to interannual fluctuations in
ozone column abundance. The ozone data set we used
was one of the merged ozone data sets (MOD) which
combine the monthly mean column abundances col-
lected by the Total Ozone Mapping Spectrometer
(TOMS) instruments on Nimbus-7, Meteor-3 and Earth
Probe with the total ozone measurements collected by
the Solar Backscatter Ultraviolet (SBUV/SBUV2) in-
struments on Nimbus 7, NOAA 9 and NOAA 11
(McPeters et al., 1996; Bojkov and Hudson, 1991). We

129 used version 1, all stations, of the combined data set
 130 (SBUV + TOMS), as described in the NASA web site:
 131 <http://hyperion.gsfc.nasa.gov/Analysis/merged>. The
 132 methane data we used were monthly means of surface
 133 methane measurements made from samples collected at
 134 fixed sites in the National Oceanic and Atmospheric
 135 Administration – Climate Monitoring and Diagnostics
 136 Laboratory (NOAA-CMDL) Global Cooperative Air
 137 Sampling Network (Dlugokency et al., 1997). These
 138 samples are taken at approximately weekly intervals.

139 We restricted our analysis to data at mid-latitudes in
 140 the southern hemisphere. In the northern hemisphere,
 141 fluctuations in the methane production by the terrestrial
 142 biosphere and by anthropogenic sources obscure the
 143 weak signal in the data. In the tropics, CH₄ is trans-
 144 ported from mid-latitudes by the convergence of surface
 145 winds near the equator, introducing additional fluctua-
 146 tions other than those caused by stratospheric O₃. In our
 147 analysis, we used the Cape Grim (CGO)
 148 (40°41'S, 144°41'E) site from the NOAA-CMDL meth-
 149 ane data set. Of the southern sites, CGO is the only site
 150 with a long continuous record that is also remote from
 151 known sources of methane. For ozone, we used the
 152 [40°S, 45°S] latitude band of the TOMS ozone data set.
 153 We had ozone data for the months from November 1978
 154 to March 1999, but we had methane data only for the
 155 months from April 1984 to December 1998. Therefore
 156 our calculation of the sensitivity was done using the
 157 latter time domain where we had data for both ozone
 158 and methane.

159 Both data sets were quadratically detrended and then
 160 their seasonal cycles were removed. Missing months
 161 were interpolated using cubic splines. Further filtering
 162 was done spectrally to isolate fluctuations with periods
 163 between 15 and 60 months. The spectral filter was a
 164 convolution of stepfunctions with Hanning windows
 165 chosen to get a full signal from periods inside the (15, 60)
 166 month interval and no signal from periods outside of the
 167 (12.5, 92) month interval (Press et al., 1992a). The fre-
 168 quency filtering was done to remove those periods for
 169 which the changes in the UV flux to the troposphere are
 170 not simply the result of changes in stratospheric ozone.
 171 For the seasonal cycle (and shorter periods) the fluctua-
 172 tions in UV insolation annual cycle are large, of
 173 roughly the same order as the mean values. The reason
 174 for removing the longer period fluctuations was to
 175 eliminate the solar cycle. The solar cycle manifests itself
 176 both as a change in the UV insolation to the top of the
 177 atmosphere and an associated change in stratospheric
 178 ozone which in turn leads to a UV screening effect which
 179 works in the opposite sense to the change in UV insola-
 180 tion. In both of these regimes, the signal from the
 181 stratospheric ozone could be obscured by the fluctua-
 182 tions in UV insolation. Figs. 1 and 2 show the detren-
 183 ded, deseasonalized data, both filtered and unfiltered,
 184 and the associated spectra for ozone and methane, respec-
 185 tively.

186 From the spectrum in Fig. 1(b), we can see that the
 187 dominant features of the ozone signal are two structures
 188 with periods of approximately 29 and 20 months. The 29
 189 month period corresponds to the quasi-biennial oscilla-

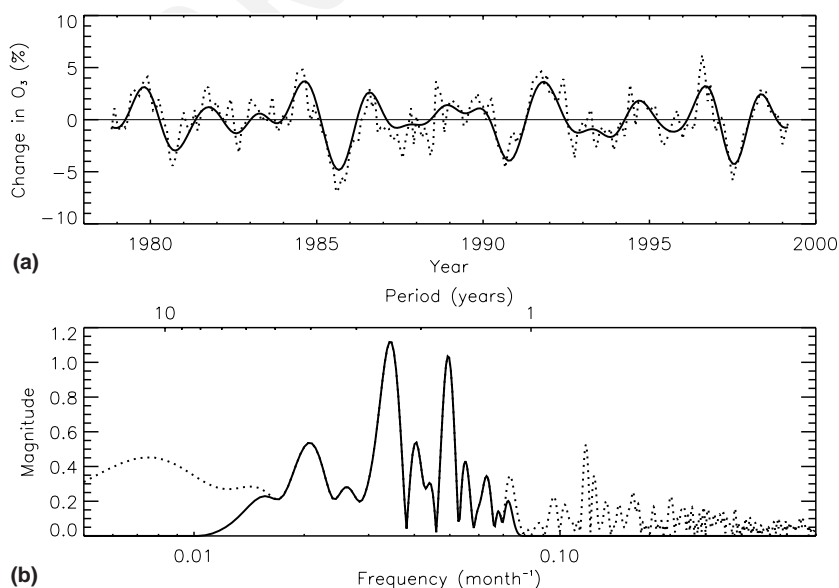


Fig. 1. (a) Fractional change in ozone column abundance from the merged SBUV/TOMS data set. (b) Spectrum of the ozone data. The solid line is the filtered data or spectrum and the dotted line is the unfiltered data or spectrum.

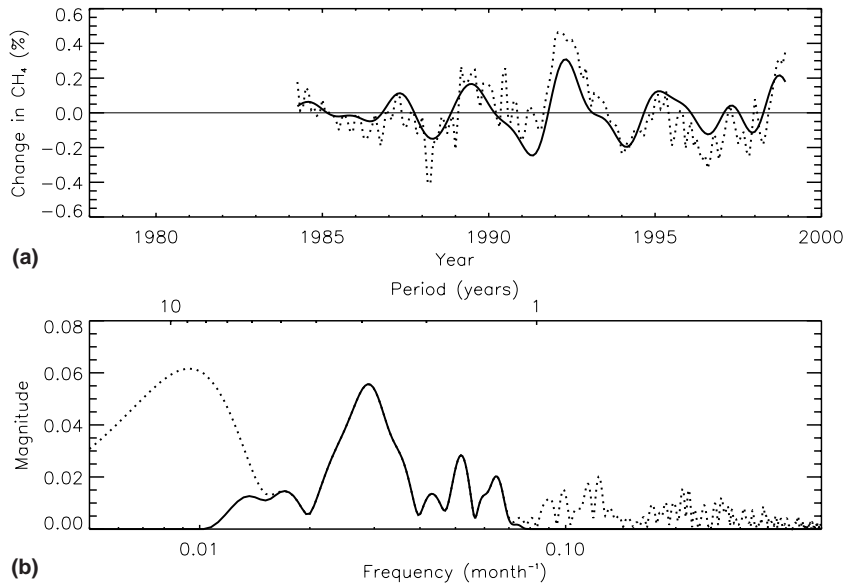


Fig. 2. (a) Fractional change in methane abundance from the NOAA-CMDL flask monthly means database. (b) Spectrum of the methane data. The solid line is the filtered data or spectrum and the dotted line is the unfiltered data or spectrum.

190 tion (QBO) of the stratosphere. The QBO is a quasi-
 191 periodic reversal in equatorial winds above the tropo-
 192 pause (Reed et al., 1961; Veryard and Ebdon, 1961). The
 193 presence of the signal in stratospheric ozone is well
 194 documented (Angell and Korshover, 1964, 1973; Tung
 195 and Yang, 1994). The QBO accounts for about 10% of
 196 total ozone variance. The 20 month signal is a beat
 197 frequency occurring from interactions between the QBO
 198 and annual fluctuations (Tung and Yang, 1994). Its
 199 frequency is the difference combination of the annual
 200 and QBO frequencies: $f_{\text{beat}} = f_{\text{annual}} - f_{\text{QBO}} = 1/12 -$
 201 $1/29 \approx 1/20$ months.

202 To determine the sensitivity, it is necessary to deter-
 203 mine the delay of the methane response to the ozone
 204 forcing. We can estimate the delay directly from the data
 205 by calculating the cross correlation of the two data sets
 206 (truncated to their common time domain) for a range of
 207 lag times. The lag associated with the maximum corre-
 208 lation is the estimate of the delay. Fig. 3(a) shows that
 209 the delay is approximately 6 months. We can use our
 210 simple model, Eq. (8), to investigate this empirically
 211 determined value for the delay. If the forcing for this
 212 model is an oscillatory signal dominated by a single
 213 frequency and the lifetime, τ , is much longer than the
 214 period of the forcing, we expect the delay to be a quarter
 215 of the forcing period. This is derived in detail in Sec-
 216 tion A.3 of Appendix A. From Fig. 1(b), we see that the
 217 period with the largest magnitude is the QBO, ~ 29
 218 months. If the only dominant frequency in the forcing
 219 was that of the QBO, Eq. (A.9) shows that the delay
 220 would be ~ 7 months. On the other hand, if the only

dominant frequency was that of the QBO-annual beat, 221
 with its ~ 20 month period, we would expect a delay of 222
 ~ 5 months. Since the ozone forcing is actually domi- 223
 nated by both the QBO and the QBO-annual beat, we 224
 expect a delay somewhere between these two values. 225
 This is clearly consistent with our empirically deter- 226
 mined value of 6 months. The details of determining the 227
 delay for a signal consisting of the superposition of 228
 multiple periodic signals are examined in Section A.4 of 229
 Appendix A. If we estimate the delay using Eq. (A.16) 230
 for the two dominant frequencies of the ozone forcing, 231
 we recover a delay of ~ 6 months. This is illustrated in 232
 Fig. 3(b) which shows that the smallest positive root of 233
 $G(L) \equiv \cos(\omega_1 L) + \delta_2 \cos(\omega_2 L)$ is $L \approx 6$. The frequen- 234
 cies, $\omega_1 \approx 2\pi/29$ months $^{-1}$ and $\omega_2 \approx 2\pi/20$ months $^{-1}$, 235
 and amplitude ratio, $\delta_2 \approx 1.0/1.1$, were determined from 236
 the filtered ozone spectrum shown in Fig. 1(b). 237

238 Once the appropriate delay of 6 months was deter- 239
 mined, we created a paired data set consisting of the 240
 filtered ozone data for the interval from October 1983 to 241
 June, 1998 and the filtered methane data for the interval 242
 from April 1984 to December 1998. The sensitivity was 243
 then calculated by performing a least squares linear fit to 244
 the paired data, with α equal to the slope of the linear fit. 245
 Fig. 4 displays the paired data set and the linear fit. The 246
 results of the sensitivity calculations are given in Table 1. 247
 As expected from the definition of α , Eq. (5), the line 248
 from the linear fit passes close to the origin. The rather 249
 wide spread of the data will be discussed below. To get a 250
 very rough idea of the accuracy of alpha calculation, we 251
 have redone the calculation on a partition of the full

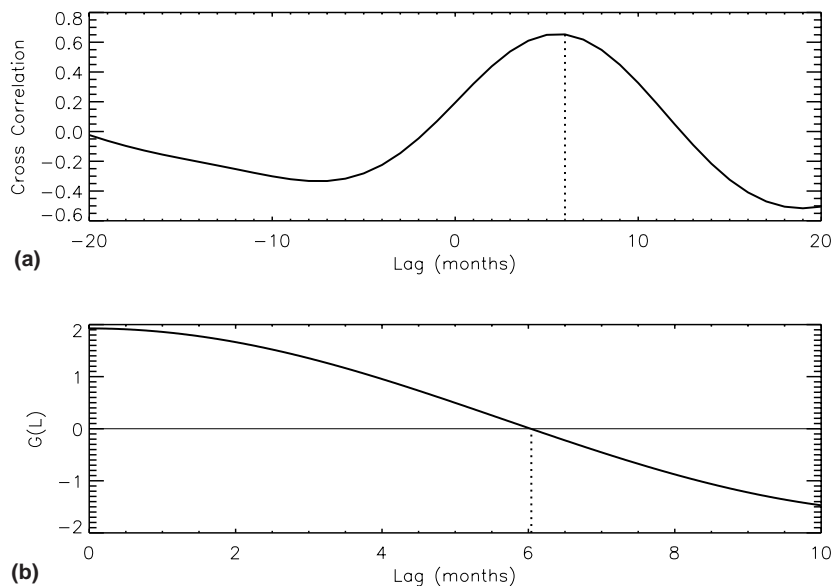


Fig. 3. Estimates of the delay of the methane response to the ozone forcing. (a) Cross correlation of the changes in ozone and methane abundances for the 1984–1998 period. The delay can be estimated by the lag corresponding to the maximum cross correlation. (b) Plot of $G(L)$ from Eq. (A.16). The delay can be estimated by the lag corresponding to the first positive root.

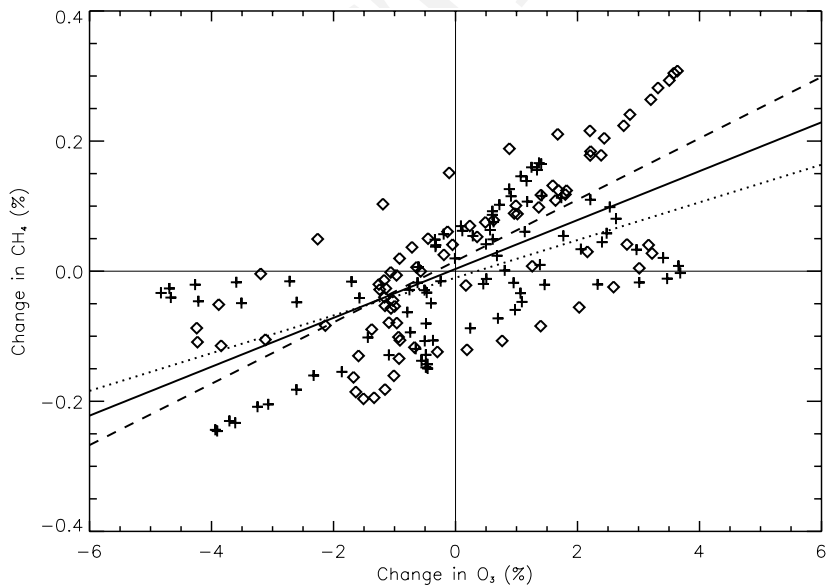


Fig. 4. Paired ozone – methane changes (with a 6 month delay). The sensitivity, α_{CH_4} , is the slope of the least squares linear fit (solid line) to the paired data. Data between April 1984 and December 1991 are denoted by '+'s. Data between January 1992 and December 1998 are denoted by '◇'s. Least square linear fits are shown for the data prior to 1992 (dotted line) and the data for 1992 on (dashed line).

252 timeseries. The values of α (and the intercept) for data
 253 between 1984 and 1991 and for data between 1992 and
 254 1998 are determined and shown in Table 1 and Fig. 4.

3. Simulating interannual fluctuations

255

To gain further insight into the effect of variability in
 stratospheric O_3 on tropospheric CH_4 and to test the

256
 257

Table 1
Calculated sensitivity, α_{CH_4} , of the methane response to ozone fluctuations^a

Years	Alpha	Intercept
1984–1998	−0.038	3.4×10^{-5}
1984–1991	−0.029	-1.0×10^{-4}
1992–1998	−0.047	1.6×10^{-4}

^a The sensitivity is estimated by the slope of the linear fit to the paired ozone and methane data, as shown in Fig. 4. Values are shown for the entire time domain and for a partition of the time domain. Values for the intercepts of the linear fits are also given.

258 previously determined value for the sensitivity, α , Eq. (8)
 259 was integrated for the period from November 1978 to
 260 March 1999. The forcing used was

$$F(t) = -\alpha_{\text{CH}_4} \omega \tau \frac{\Omega'}{\Omega_0}, \quad (10)$$

262 where Ω'/Ω_0 is the filtered ozone data described in the
 263 previous section and shown in Fig. 1(a). The relation-
 264 ship between the sensitivity and the multiplicative factor
 265 for the forcing, $\kappa \simeq -\alpha_{\text{CH}_4} \omega \tau$, can be seen in Eq. (A.10).
 266 The change in sign is caused by the change from negative
 267 forcing by the hydroxyl radical to positive forcing by
 268 ozone. Values for the sensitivity, $\alpha_{\text{CH}_4} = -0.038$, and the
 269 QBO frequency, $\omega = 2\pi/29 \text{ months}^{-1}$, were determined
 270 in the previous section. An estimate of 10 years has been
 271 used for τ , the lifetime of methane (Spivakovsky et al.,
 272 1990; Prinn et al., 1992; Michelson et al., 1994). The
 273 initial condition was set to zero and a fourth order

Runge-Kutta method was used for the integration (Press et al., 1992c).

Fig. 5(a) shows the observed (filtered) ozone fluctuations in comparison to the observed (filtered) methane fluctuations. The ozone data has been multiplied by the sensitivity, α_{CH_4} , and has been shifted forward by 6 months to account for the delay in the methane response. Fig. 5(b) shows the resulting simulated methane response in comparison to the observed (filtered) methane data. The ozone signal and the simulation clearly capture much, but not all, of the variability of the methane signal. In particular, the phases of the oscillations are in reasonable agreement. The disparities between these curves will be discussed below. Correlations between the data sets have been calculated and are shown in Table 2. Corresponding statistical significances were determined by the Fisher-Z test. The necessary estimate of the number of degrees of freedom was found by using the first zero of the auto-correlation to estimate the spacing between independent data points (Harrison and Larkin, 1997).

4. Discussion

We can compare the estimate of α_{CH_4} from our empirical study to estimates of α_{OH} and α_{CH_4} from various modeling studies (Bekki et al., 1994; Fuglestedt et al., 1994; Granier et al., 1996; Van Dop and Krol, 1996). Table 3, derived from Table 10.3 of (Granier and Shine, 1991), summarizes some of these results. But there exists

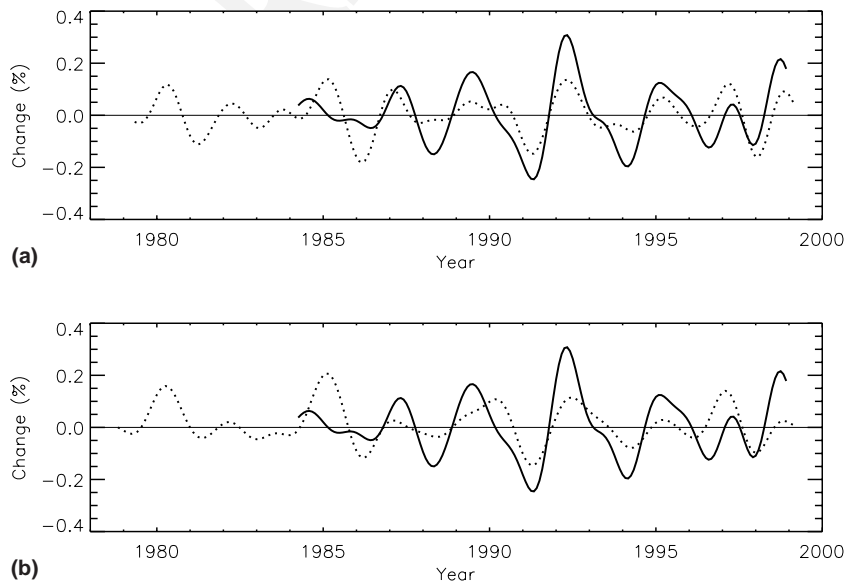


Fig. 5. (a) Comparison of the filtered methane data (solid line) to filtered ozone data (dashed line). The ozone data has been shifted up 6 months and its amplitude has been multiplied by the sensitivity, α_{CH_4} . (b) Comparison of the filtered methane data to the simulated methane response (dashed line).

Table 2

Correlations between the methane observations $(\text{CH}_4)_d$, and the ozone observations, O_3 , and the simulated methane response, $(\text{CH}_4)_s^a$

Data sets	Years	Correlations	R^2	Significance (%)	Degrees of freedom
$\text{O}_3 - (\text{CH}_4)_d$	1984–1998	0.65	0.43	99.99	26
$(\text{CH}_4)_s - (\text{CH}_4)_d$	1984–1998	0.53	0.29	99.6	23

^a The coefficient of determination (R^2), associated Fisher Z significance and estimated degrees of freedom are also shown.

302 a fundamental difference between our time-dependent
 303 calculations and the steady-state ones performed in the
 304 modeling studies. Our calculations are based on the
 305 relatively short term response, on the order of months,
 306 to oscillatory forcing. The calculations from modeling
 307 studies are based on the long term response to a shift in
 308 the overall ozone level, i.e. to a constant forcing. The
 309 derivations in Sections A.2 and A.3 of Appendix A ex-
 310 plore this difference for a simple linear system. For a
 311 species with a short lifetime, such as OH, Eqs. (A.5) and
 312 (A.8) demonstrate that the estimates of the sensitivity
 313 will not strongly depend upon whether the forcing is
 314 constant or oscillatory (assuming that forcing period,
 315 $2\pi/\omega$, is much longer than the lifetime, τ). However, for
 316 a long lifetime species such as CH_4 , a comparison of
 317 Eqs. (A.5) and (A.10) demonstrates that the sensitivity
 318 measurement depends strongly on the type (and fre-
 319 quency) of the forcing. We can compare our empirical
 320 calculation of α_{CH_4} to the α_{CH_4} from Table 3 by including
 321 a multiplicative factor of $\omega\tau$. This also serves as a rough
 322 comparison of the empirical α_{CH_4} to the α_{OH} 's from
 323 Table 3. From Eqs. (4), (5), (A.8) and (A.10), we can
 324 estimate the ratio

$$\frac{\alpha_{\text{CH}_4}}{\alpha_{\text{OH}}} \simeq -\frac{1}{\omega\tau}. \quad (11)$$

326 Using $\alpha_{\text{CH}_4} \simeq -0.038 \pm 0.009$, $\omega = 2\pi/29 \text{ months}^{-1}$,
 327 and $\tau = 10 \text{ years}$, we get $\alpha_{\text{CH}_4}\omega\tau \simeq -0.98 \pm 0.23$. These

Table 3

Sensitivity, α_X , of tropospheric chemical species, X, to strato-
 spheric ozone changes for the period 1979–1994^a

References	X = OH	X = CH_4
Bekki et al. (1994) (2-D)	0.86	N/A
Fuglestedt et al. (1994) (2-D)	0.99	-0.79
Granier et al. (1996) (3-D)	0.82	N/A
Granier-1997 (3-D JPL94)	0.70	N/A
Granier-1997 (3-D JPL97)	0.74	N/A
Our result: $\alpha_{\text{CH}_4}\omega\tau$		-0.98

^a The values reported here correspond to the relative change in
 global tropospheric annual average levels in X(%) resulting
 from a 1% decrease in total column ozone; the assumed sce-
 narios are discussed in the cited publications. The type of model
 used is indicated in parentheses. Note that the values of Granier
 et al. (1996) correspond to the 1990–1994 period. The calcula-
 tions indicated as Granier-1997 correspond to the 1979–1994
 period and have been obtained using an updated version of the
 model used by Granier et al. (1996).

values are consistent with the values from the modeling
 studies.

While the high significances of our correlations give us
 confidence that part of the methane signal is responding
 to the ozone forcing, the relatively low values of these
 correlations demonstrate that other processes have a
 strong effect on the methane on these timescales. The
 square of the correlation (the coefficient of determina-
 tion or R^2) measures the amount of the variability in
 methane signal caused by the fluctuations in the ozone
 forcing. The R^2 values for our correlations, shown in
 Table 2, demonstrate that more than half of the methane
 variability is due to other causes. This is also evident in
 methane spectrum, Fig. 2(b), where the QBO and the
 QBO-annual beat frequencies are clearly not the domi-
 nant features. Other possible causes for the methane
 variability include source fluctuations and tropospheric
 dynamics. The signal from these other causes partially
 obscures the response to the local ozone forcing and
 contributes to the spread of the data used in the α cal-
 culation, Fig. 4, and to the disparities seen in the com-
 parisons shown in Fig. 5. Investigating these effects is
 beyond the scope of the study presented here. A global
 study comparing measurements of the zonally averaged
 tropospheric methane from many latitude bands to
 measurements of the zonally averaged column ozone
 from each of those bands combined with a good mea-
 sure of meridional mixing rates would be required.

We must also consider the possibility that there may
 exist alternative sources for our measured correlations.
 A process may be forcing both the ozone and methane
 directly, although it would need to affect the ozone ap-
 proximately 6 months prior to the methane to explain
 the lag at which the maximum correlation occurs. One
 such alternative is that the QBO in the stratosphere may
 be directly creating a tropospheric QBO signal through
 dynamical processes. To explore this possibility, we ex-
 amined the tropospheric CO_2 record from the CGO and
 MLO (Mauna Loa) sites. Since we detected no signifi-
 cant QBO signal in these records, we can rule out this
 hypothesis.

The signals we analyzed in this paper are very small,
 on the order of 0.1% for methane and 1.0% for ozone,
 over a few years. It is a tribute to the CMDL and TOMS
 programs that such high quality calibrations are main-
 tained over decadal time periods. Obviously, this anal-
 ysis can be greatly improved with a longer record in the
 future.

376 **5. Uncited references**

377 WMO (1961), Press et al. (1992b).

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 383 from the NOAA-CMDL global flask sampling network,
 384 and Rich Stolarski of NASA Goddard Space Flight
 385 Center for the use of O₃ data from the merged ozone
 386 data set. Supported by NASA grants NAG5-7230 and
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388 **Appendix A**

389 Our model is the first-order ODE

$$\frac{dy}{dt} = -\frac{1}{\tau}y - \frac{1}{\tau}F(t). \quad (\text{A.1})$$

391 The general solution, given an initial condition $y(0)$ at
 392 time $t = 0$, is

$$y(t) = y(0)e^{-t/\tau} - \frac{1}{\tau} \int_0^t \exp\left[-\frac{1}{\tau}(t-t')\right] F(t') dt'. \quad (\text{A.2})$$

394 *A.1. Impulse response*

395 If we assume that the forcing in Eq. (A.1) is an in-
 396 stantaneous impulse at some time t_0 , i.e.
 397 $F(t) = \kappa\delta(t - t_0)$, then the solution (A.2) becomes

$$y(t) = \begin{cases} y(0)e^{-t/\tau} & \text{for } 0 < t < t_0, \\ y(0)e^{-t/\tau} - \frac{\kappa}{\tau} \exp\left[-\frac{1}{\tau}(t - t_0)\right] & \text{for } t > t_0. \end{cases} \quad (\text{A.3})$$

399 Therefore, the solution responds immediately to the
 400 impulse forcing, then slowly decays back to $y = 0$. The
 401 speed of the decay is controlled by the lifetime, τ .

402 *A.2. Constant forcing*

403 If we assume that the forcing in Eq. (A.1) is constant,
 404 i.e. $F(t) = \kappa f(t) = \kappa f_0$, the solution (A.2) becomes

$$y(t) = [y(0) + \kappa f_0]e^{-t/\tau} - \kappa f_0. \quad (\text{A.4})$$

406 Ignoring the transient terms, the sensitivity of the re-
 407 sponse to constant forcing can be estimated by

$$\alpha \simeq -\frac{\|y(t)\|}{f_0} \simeq \kappa. \quad (\text{A.5})$$

Note that this result is independent of the lifetime, τ , of
 the responding species.

A.3. Single period oscillatory forcing 411

If we assume that the forcing in Eq. (A.1) is propor-
 tional to a sinusoidal forcing, i.e.
 $F(t) = \kappa f(t) = \kappa f_0 \cos(\omega t)$, and define $\tan \phi = \omega\tau$, the
 solution (A.2) becomes

$$y(t) = [y(0) + \frac{\kappa f_0 \cos(\phi)}{\sqrt{1 + \omega^2 \tau^2}}]e^{-t/\tau} - \frac{\kappa}{\sqrt{1 + \omega^2 \tau^2}} f_0 \times \cos(\omega t - \phi). \quad (\text{A.6})$$

If we assume $\omega\tau \ll 1$, we get $\phi = \arctan(\omega\tau) \rightarrow 0$ and
 $\sqrt{1 + \omega^2 \tau^2} \simeq 1$. Ignoring the transient term, the solution
 (A.6) becomes

$$y(t) \simeq -\kappa f_0 \cos(\omega t) \simeq -\kappa f(t). \quad (\text{A.7})$$

So, the solution closely tracks the forcing. The sensitivity
 of the response of a short lifetime species to oscillatory
 forcing is estimated by

$$\alpha = -\frac{\|y(t)\|}{\|f(t)\|} = \frac{\kappa}{\sqrt{1 + \omega^2 \tau^2}} \simeq \kappa. \quad (\text{A.8})$$

If we assume $\omega\tau \gg 1$, we get $\phi = \arctan(\omega\tau) \simeq \pi/2$ and
 $\sqrt{1 + \omega^2 \tau^2} \simeq \omega\tau$. Now the non-transient solution (A.6)
 becomes

$$y(t) \simeq -\frac{\kappa}{\sqrt{1 + \omega^2 \tau^2}} f_0 \cos\left(\omega t - \frac{\pi}{2}\right) \simeq -\frac{\kappa}{\omega\tau} f\left(t - \frac{T}{4}\right), \quad (\text{A.9})$$

where $T = 2\pi/\omega$ is the period of the forcing. So, for a
 long lifetime species, we get a response which lags the
 forcing by a quarter period. Furthermore, the sensitivity
 to oscillatory forcing can be estimated by

$$\alpha = -\frac{\|y(t)\|}{\|f(t)\|} = \frac{\kappa}{\sqrt{1 + \omega^2 \tau^2}} \simeq \frac{\kappa}{\omega\tau}. \quad (\text{A.10})$$

A.4. Multiple period oscillatory forcing 434

For a more realistic forcing for Eq. (A.1), we can
 consider the superposition of multiple sinusoidal terms
 $F(t) = \kappa \sum_i f_i \cos(\omega_i t)$. Then, ignoring the transient
 terms, we get the solution

$$y(t) = -\kappa \sum_i \frac{f_i}{\omega_i \tau} \cos\left(\omega_i t - \frac{\pi}{2}\right). \quad (\text{A.11})$$

When comparing datasets, the delay of the response to
 the forcing can be determined by finding the lag asso-
 ciated with the maximum (or minimum, if anti-corre-
 lated) cross-correlation between the two datasets. It
 would therefore be illuminating to examine the cross-
 correlation of the above idealized case. Note that here,

446 the solution and forcing are anti-correlated. The cross-
447 correlation for the time interval $[0, T]$ is

$$\begin{aligned}
 C(y, F; L, T) &= \int_0^T y(t+L)F(t) dt \\
 &= \kappa \sum_i \sum_{j \neq i} \\
 &\quad \times \frac{f_i f_j}{\omega_j \tau} \left[\frac{\cos[(\omega_j - \omega_i)T + \omega_j L] - \cos(\omega_j L)}{2(\omega_j - \omega_i)} \right. \\
 &\quad \left. + \frac{\cos[(\omega_j + \omega_i)T + \omega_j L] - \cos(\omega_j L)}{2(\omega_j + \omega_i)} \right] \\
 &\quad - \kappa \sum_i \frac{f_i^2}{\omega_i \tau} \left[\frac{T}{2} \sin(\omega_i L) \right. \\
 &\quad \left. - \frac{\cos(2\omega_i T + \omega_i L) - \cos(\omega_i L)}{4\omega_i} \right].
 \end{aligned}
 \tag{A.12}$$

449 We can greatly simplify this expression by letting T be
450 the product of all possible periods in (A.12),

$$T = \prod_{ij} (T_{ij+})(T_{ij-}), \quad T_{ij\pm} = \frac{2\pi}{\omega_j \pm \omega_i}
 \tag{A.13}$$

452 leaving

$$C(y, F; L, T) = -\frac{\kappa T}{2} \sum_i \frac{f_i^2}{\omega_i \tau} \sin(\omega_i L).
 \tag{A.14}$$

454 The lag associated with the maximum anti-correlation
455 occurs at the minimum of $C(y, F; L, T)$ with respect to L .

456 For a single forcing frequency, ω_1 , the first minimum
457 for positive lag, L , occurs when

$$\omega_1 L = \frac{\pi}{2}.
 \tag{A.15}$$

459 So the response lags the forcing by a quarter period as
460 expected.

461 For two or more forcing frequencies, sorted by de-
462 creasing amplitude, the extrema occur at the roots of

$$G(L) \equiv \cos(\omega_1 L) + \sum_{i>1} \delta_i^2 \cos(\omega_i L),
 \tag{A.16}$$

464 where $\delta_i = F_i/F_1 < 1$. If $\delta_i \ll 1$ for all $i > 1$, then this
465 approximates the single frequency case with
466 $\omega_i L = \pi/2 \pm \epsilon$, $\epsilon \ll 1$. In general, as the δ_i 's grow, so will
467 ϵ .

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